

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
SO-7

In Re Application Of: **Kim Lui So**

JUL 24 2006

Application No.

10/573431

Filing Date

03/24/2006

Examiner

To Be Assigned

Customer No.

39705

Group Art Unit

To Be Assigned

Confirmation No.

To Be Assigned

Title: **SELF CLEANING FILTER ASSEMBLY AND CIRCULATION SYSTEM**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

- ☐ the statement specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p).

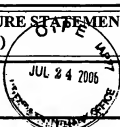
## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

SO-7

In Re Application of: Kim Lui So



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/573431	03/24/2006	To Be Assigned	39705	To Be Assigned	To Be Assigned

Title: SELF CLEANING FILTER ASSEMBLY AND CIRCULATION SYSTEM

## Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 02-4345 as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

## Certificate of Transmission by Facsimile\*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fa

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

July 20, 2006

(Date)

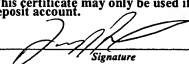
Signature of Person Mailing Correspondence

Khristine McNeil

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Dated: July 20, 2006

  
Signature  
Loren G. Helmreich, Reg. No. 29,389  
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Houston, Texas 77057  
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CC:

P10A/REV05

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. //I.W./

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				Docket Number (Optional) <b>SO-7</b>		Application Number <b>10/573431</b>			
				Applicant(s) <b>Kim Lui So</b>				Group Art Unit <b>To Be Assigned</b>	
				Filing Date <b>March 24, 2006</b>					
<b>U.S. PATENT DOCUMENTS</b>									
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
		6,698,419	03/02/2004						
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>									
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
<b>FOREIGN PATENT DOCUMENTS</b>									
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation YES      NO		
		WO 2004/016988 A1	02/26/2004						
		GB 2138127 A	10/17/1984						
		EP 0570656 A1	09/29/1992						
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>									
EXAMINER <b>/lves Wu/</b>				DATE CONSIDERED <b>05/01/2009</b>					

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.